

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicants: John P. Atkinson, Dennis Hourcade, and Malgorzata Krych

PTO Facsimile Number: (703) 308-6778

Serial No.: 09/453,935

Date of Transmission: November 20, 2001

Art Unit: 1632

Filed: December 2, 1999

Batch No.: K95

Examiner: Crouch, D.

For: CELLS EXPRESSING A MODIFIED REGULATOR OF COMPLEMENT
ACTIVATIONBox Issue Fee
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Technology Center 2100

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**TRANSMITTAL OF ISSUE FEE AND
REQUEST FOR COPY OF OFFICIAL FILING RECEIPT**

Sir:

Transmitted herewith are the (1) Issue Fee Transmittal Form PTOL-85B (in duplicate); and (2) Fee Transmittal (in duplicate). The Commissioner is hereby authorized to charge Deposit Order Account No. 50-1868 in the amount of \$1,340.00 to cover the (a) \$1,280.00 issue fee for a large entity, and (b) \$60.00 fee for twenty (20) copies of the patent.

This application is not entitled to claim small entity status pursuant to 37 C.F.R. § 1.27.

Request for Copy of Official Filing Receipt

Applicants note that no official Filing Receipt was ever received in this application.

The first communication received from the U.S. Patent and Trademark Office after the

WU# CE0067-18/US
MPI# MPI1991-005DV3
WIJ 101 DIV (3) 078243/00018

U.S.S.N.: 09/453,935
Filed: December 2, 1999
TRANSMITTAL OF ISSUE FEE
PTO Facsimile No.: (703) 308-6778
Date of Transmission: November 20, 2001

application was filed on December 2, 1999, was the first Office Action, mailed July 18, 2000.

Applicants respectfully request a copy of the official Filing Receipt. Applicants amended the specification to include the priority data in the Preliminary Amendment filed with the application on December 2, 1999. The Examiner acknowledged the claim for domestic priority in the first Office Action, but applicants do not have the benefit of the official Filing Receipt to ensure that the priority claim is complete.

Respectfully submitted,



Patrea L. Pabst
Registration No. 31,284

Date: November 20, 2001

HOLLAND & KNIGHT LLP
Suite 2000, One Atlantic Center
1201 West Peachtree Street, N.E.
Atlanta, Georgia 30309-3400
(404) 817-8473 (Telephone)
(404) 817-8588 (Fax)

WU# CE0067-18/US
MPI# MPJ1991-005DV3
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U.S.S.N.: 09/453,935
Filed: December 2, 1999
TRANSMITTAL OF ISSUE FEE
PTO Facsimile No.: (703) 308-6778
Date of Transmission: November 20, 2001

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Pam Tumbough

Date: November 20, 2001

ATL1 #494131 v1

WU# CE0067-18/US
MPI# MPI1991-005DV3
WU 101 DIV (3) 078243/00018

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HM12/0820
 PATREA L. PABST
 HOLLAND & KNIGHT LLP
 1201 W. PEACHTREE ST
 ONE ATLANTIC CENTER STE 2000
 ATLANTA, GA 30309-3400

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Pam Turnbough

(Depositor's name)

Pam Turnbough

(Signature)

11/20/01

(Date)

| APPLICATION NO. | FILING DATE | TOTAL CLAIMS | EXAMINER AND GROUP ART UNIT | DATE MAILED |
|-----------------------|-------------|---------------------------|-----------------------------|-------------|
| 09/453,895 | 11/02/99 | 024 | CROUCH, D | 10/23/01 |
| First Named Applicant | ATKINSON, | 35 USC 154(b) term ext. = | 0 Days. | |

TITLE OF INVENTION: CALLS EXPANDING A MODIFIED REGULATOR OF COMPLEMENT ACTIVATION

| ATTY'S DOCKET NO. | CLASS-SUBCLASS | BATCH NO. | APPLN. TYPE | SMALL ENTITY | FEE DUE | DATE DUE |
|-------------------|----------------|-------------|-------------|--------------|---------|--------------------|
| 1 | WU-101-DIV(3 | 435-325.000 | K95 | UTILITY | NO | \$1240.00 11/23/01 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Holland & Knight LLP

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
 PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Washington University

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

St. Louis, Missouri

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee

☒ Advance Order - # of Copies 20

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DEPOSIT ACCOUNT NUMBER 50-1868

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

11/19/2001

NOTE: The Issue Fee will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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Washington, D.C. 20231

DUPLICATE

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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

XXXXXXXXXXXXXXXXXXXX
ATLANTA, GA 30309-3400

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Pam Turnbough

(Depositor's name)

Pam Turnbough

(Signature)

11/20/01

(Date)

| APPLICATION NO. | FILING DATE | TOTAL CLAIMS | EXAMINER AND GROUP ART UNIT | DATE MAILED |
|-----------------------|-------------|--------------|-----------------------------|-------------|
| | | | | |
| First Named Applicant | | | | |

TITLE OF INVENTION

| ATTY'S DOCKET NO. | CLASS-SUBCLASS | BATCH NO. | APPLN. TYPE | SMALL ENTITY | FEE DUE | DATE DUE |
|-------------------|----------------|-----------|-------------|--------------|---------|----------|
| | | | | | | |

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3. _____

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Washington University

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St. Louis, Missouri

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$), 1,340.00

Complete if Known

Application Number 09/453,935
 Filing Date December 2, 1999
 First Named Inventor John P. Atkinson
 Examiner Name Crouch, D.
 Group Art Unit 1632
 Attorney Docket No. WU 101 DIV (3)

Technology Center 2100

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed;

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid | | |
|---|-----------------------|-----------------|----------|--|----------|
| 106 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 60 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 138 | 130 | 130 | 130 | Non-English specification | |
| 147 | 2,620 | 147 | 2,920 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 820* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 116 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 380 | 218 | 185 | Extension for reply within second month | |
| 117 | 680 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,380 | 218 | 885 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 118 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or release) | 1,280.00 |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 145 | 710 | 245 | 355 | Filing a submission after final rejection (37 CFR § 1.129(e)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 178 | 278 | 355 | | Request for Continued Examination (RCE) | |
| 169 | 800 | 169 | 800 | Request for expedited examination of a design application | |
| Other fee (specify) 20 copies of patent @ \$3.00/copy | | | 60.00 | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$), 1,340.00

SUBTOTAL (2) (\$)

SUBTOTAL (1) (\$)

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid | | |
|-----------------------|-----------------------|-----------------|----------|------------------------|--|
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Release filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from Below | Fee Paid | |
|---------------------------|--------------|----------------|----------|--|
| Independent Claims | -20 | = | X | |
| Multiple Dependent Claims | -3 | = | X | |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | | |
|-----------------------|-----------------------|-----------------|-----|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 108 | 80 | 208 | 40 | - Release independent claims over original patent |
| 110 | 18 | 210 | 9 | - Release claims in excess of 20 and over original patent |

SUBMITTED BY

Name (Print/Type)

Patrea L. Pabst

Registration No. (Attorney/Agent)

31,284

Complete (if applicable)

Telephone

404-817-8473

Signature

Date

11/20/2001

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| Commissioner of Patents | U.S. Patent and Trademark | 2153 |
| NAME | Office | 703-308-6778 |
| Washington | COMPANY/FIRM | FAX NUMBER |
| CITY | DC | (TELEPHONE NUMBER) |
| | STATE | |

FROM:

| | | |
|-----------------|--------------|-------------------------------------|
| Patrea L. Pabst | 404-817-8473 | 8 |
| NAME | TELEPHONE | TOTAL PAGES (Including Cover Sheet) |

MESSAGE:

WU 101 DIV (3)
U.S.S.N. 09/453,935
Filed: December 2, 1999

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Date: November 20, 2001

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FAXED BY: FILE #: 078243/00018 CLIENT NAME: Washington University

CONFIRMED: ☐ YES ☐ NO NAME: TIME:

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